# Circulating Tumor DNA as a Prognostic Biomarker for CDK 4/6 Inhibitor Therapy in Metastatic Breast Cancer

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### Background

Cyclin dependent kinase (CDK) 4/6 inhibition in addition with endocrine therapy (ET) is first line therapy for patients with hormone receptor-positive (HR+), HER2 non-amplified, metastatic breast cancer (mBC). Recognizing which patients are going to benefit from treatment and identifying which patients acquire resistance are still poorly understood. The advent of circulating tumor DNA (ctDNA) offers a unique noninvasive method to capture mBC heterogeneity. Studies have used ctDNA to monitor for emergence of mutations such as loss of function mutations to RB1. In this project, we test the hypothesis that ctDNA can be utilized as a biomarker to predict response to CDK 4/6 inhibitor therapy by identifying mutations and further characterizing the heterogeneity of these gene alterations.

#### Methods

In this study, we analyzed a subset of patients from the Dallas Metastatic Breast Cancer Study comprised of patients with HR+. HER2 non-amplified, mBC who underwent treatment with a CDK 4/6 inhibitor and ET in addition to ctDNA testing (n=102). Tempus xF and FoundationOne Liquid CDx liquid biopsy sequencing panels were utilized, which detects oncogenic drivers and resistance mutations. Mutations identified as The data was collected from a single academic medical center between the initial year of ctDNA collection in 2019 to 2024.



Figure 1 illustrates peripheral blood obtained from a breast cancer patient and analyzed using the Tempus xF panel to detect circulating tumor DNA.

#### Results

|                                  | Patients without<br>Specified<br>Mutations | Patients with<br>Specified<br>Mutations | Patients with<br>RBI Mutation |  |
|----------------------------------|--|---|-------------------------------|--|
| Total Patients                   | 88   | 14                                      | 9                             |  |
| Gender                           |  | -                                       |                               |  |
| Male                             | 1(12%)                                     | 0                                       | 0                             |  |
| Female                           | 87 (98.8%)                                 | 14 (100%)                               | 9 (100%)                      |  |
| Age at Diagnosis                 |  |   | -                             |  |
| Mean                             | 55   | 54                                      | 53                            |  |
| AJCC Staging                     |  |   | 242                           |  |
| Metastatic<br>(Stage IV)         | 88 (100%)                                  | 14 (100%)                               | 9 (100%)                      |  |
| Non-Metastatic<br>(Stage 0-IIIC) | 0 (0%)                                     | 0 (0%)                                  | 0 (0%)                        |  |
| Type of CDK 4/6                  | Inhibitor                                  |   |                               |  |
| Palbociclib                      | 62 (70.4 %)                                | 6 (42.9 %)                              | 2 (28 %)                      |  |
| Ribociclib                       | 9 (10.2 %)                                 | 1 (7.1 %)                               | 0 (0%)                        |  |
| Abemaciclib                      | 17 (19.4 %)                                | 7 (50 %)                                | 7 (72 %)                      |  |

Table 1. Patient characteristics including: gender, age at breast cancer diagnosis, American Joint Committee on Cancer staging at time of ctDNA collection, and type of CDK 4/6 inhibitor treatment received. Specified mutations are CCNE1, MYC, and RBI.

|  | Patients<br>without<br>Specified<br>Mutations | Patients with<br>Specified<br>Mutations | Patients with<br>RB Mutation |  |
|--|---|---|------------------------------|--|
| Median<br>Progression<br>Free Survival<br>(months)<br>(mPFS) | 13.5  | 7.5                                     |                              |  |
| Sites of Metas   | tasis   |   |                              |  |
| Bone   | 68 (77%)                                      | 11 (78%)                                | 8 (89%)                      |  |
| Liver  | 33 (38%)                                      | 10 (71%)                                | 5 (55%)                      |  |
| Lung   | 26 (29%)                                      | 4 28%)                                  | 2 (22%)                      |  |
| CNS  | 7 (8%)  | 2 (14%)                                 | 1 (11%)                      |  |
| Dermal   | 3 (3%)  | 0 (0%)                                  | 0 (0%)                       |  |

Table 2. Median progression free survival of patients with designated mutations as tested with ctDNA. Genes of interest were CCNE1, MYC, and RB1. Among patients who did not have these gene alterations present, median progression-free survival (mPFS) was 13.5 months. Two patients had CCNE1 alterations mPFS was 5.5 months, three patients had MYC alterations mPFS was 19 months, and nine patients had RB1 alterations mPFS 7 months. Sites of metastasis within the three specified groups.

|   | Patient<br>1                    | Patient<br>2     | Patient<br>3 | Patient<br>4 | Patient<br>5              |  |  |
|---|---------------------------------|------------------|--------------|--------------|---------------------------|--|--|
| Acquired<br>Mutation                            | RB1                             | RB1              | RB1          | RB1          | MYC                       |  |  |
| AJCC<br>Staging                                 | Metastatic (Stage IV)           |                  |              |              |                           |  |  |
| Age at<br>Diagnosis                             | 43                              | 35               | 50           | 46           | 62                        |  |  |
| Type of CDK<br>4/6<br>Inhibitor                 |                                 | Palbocilib       |              |              |                           |  |  |
| Duration of<br>CDK 4/6<br>Inhibitor<br>(months) | 23                              | 8                | 45           | 6            | 26                        |  |  |
| Location of<br>Acquired<br>Mutation             | p.P28<br>(fs)<br>p.V654<br>(fs) | p.R251<br>p.R787 | p.R552       | p.Q471       | Copy<br>Number<br>Variant |  |  |

Table 3. Shows individual characteristics of four patients that acquired a RB1 gene mutation and one patient that acquired a MYC mutation. Tempus xF or FoundationOne Liquid CDx liquid biopsy sequencing panels were utilized before CDK 4/6 inhibitor therapy was initiated and did not detect mutations in RB1 or MYC. Subsequent liquid biopsies after having progression on CDK 4/6 inhibitor therapy did reveal newly acquired mutations as specified above. Patient 1 had frameshift mutations and Patients 2-4 had loss of function point mutations in RB1. Patient 5 had a copy number variant mutation leading to copy number gain.

## Conclusions

Researchers are actively seeking biomarkers that may predict favorable response and real time changes in tumor biology. This analysis further suggests patients undergoing treatment with CDK 4/6 inhibitor therapy may benefit from ctDNA analysis to evaluate for unfavorable gene alterations. The RB1 gene alteration occurred in approximately 9% of this traffect a volution. Our study was limited by the small sample size and timing of ctDNA collection. Further studies are needed to provide insight on using ctDNA as a biomarker to track acquired tumor mutations that may indicate poor treatment outcomes.